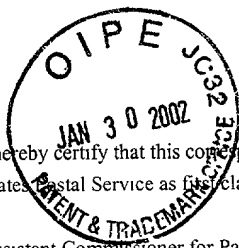


#10



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
Attorney Docket No.: 015280-248120US

Assistant Commissioner for Patents  
Washington, D.C. 20231

On 17 Jan. 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Malinda W. J. J. J.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

GINNS *et al.*

Application No.: 09/881,012

Filed: June 13, 2001

For: SUSCEPTIBILITY AND  
RESISTANCE GENES FOR BIPOLAR  
AFFECTIVE DISORDER

Examiner: Not yet assigned

Art Unit: 1655

SEQUENCE LISTING SUBMISSION

STATEMENT UNDER 37 CFR 1.821(e)

AND

PRELIMINARY AMENDMENT

Box SEQUENCE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, 37 C.F.R. §§ 1.821-1.825, mailed December 11, 2001, Applicants submit herewith the required paper copy of the Substitute Sequence Listing.

The computer readable form of the Sequence Listing in this application is identical to the Substitute Sequence Listing filed April 12, 1999 for parent Application Serial No. 09/175,158 filed October 19, 1998. In accordance with 37 CFR 1.821(e), please use the computer readable form of the Substitute Sequence Listing filed April 12, 1999 in the parent application as the computer readable form in the instant application. It

is understood that the Patent and Trademark Office will make the necessary change in application number and filing date. The information in the paper copy of the Substitute Sequence Listing enclosed herewith is identical to that which is in the computer readable form, as required under 37 C.F.R. § 1.821(f).

Please amend the specification in adherence with 37 C.F.R. §§ 1.821-1.825 as follows.

**In the Specification:**

Please cancel the "SEQUENCE LISTING", pages 1 to 17, and insert therefor the accompanying paper copy of the Substitute Sequence Listing, page numbers 1 to 45, at the end of the application.

**REMARKS**

Applicants request entry of this amendment in adherence with 37 C.F.R. §§1.821 to 1.825. This amendment is accompanied by a floppy disk containing the above named sequences, SEQ ID NOS:1-240, in computer readable form, and a paper copy of the sequence information which has been printed from the floppy disk.

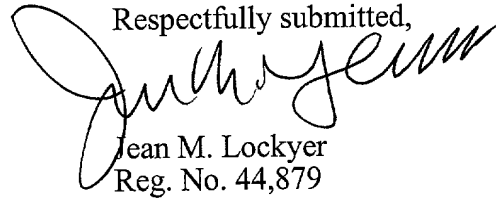
The information contained in the computer readable form of the Substitute Sequence Listing for Application No. 09/175,158 was prepared through the use of the software program "FastSEQ" and was identical to that of the paper copy.

GINNS *et al.*  
Application No.: 09/881,012  
Page 3

PATENT

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415-576-0200.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Jean M. Lockyer", is written over the typed name and registration number.

Jean M. Lockyer  
Reg. No. 44,879

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